

Amendment to Registration Statement
(Government Code Section 86107)

Check the applicable box:

- LOBBYING FIRM REGISTRATION
 LOBBYIST EMPLOYER REGISTRATION
 LOBBYING COALITION REGISTRATION

Type or Print in Ink

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| Legislative Session 2011-2012 (Insert Years) | CALIFORNIA FORM 605 FAIR POLITICAL PRACTICES COMM. RECEIVED AND FILED in the office of the Secretary of State of the State of California DEC 09 2011 |
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NAME OF FILER:

Martingale Asset Management, L.P.

DEBRA BOWEN
Secretary of State

ADDRESS (Number and Street)

(City)

(State)

(Zip)

TELEPHONE NUMBER:

222 Berkeley St., 19th fl. Boston MA 02116

(617) 424-4700

I Description of Changes (See instructions on cover sheet and examples on the back of this page.)

Check appropriate box(es)

Adding Lobbyist

Name of Lobbyist Effective Date
Attach Form 604

Lobbying Firm Deleting Lobbyist Employer

Name of Employer Effective Date
No attachment required

Lobbying Firm Adding Lobbyist Employer
(Including Subcontract Clients)

Name of Lobbyist Employer Effective Date
Complete Part II and Attach Form 602

Registered Lobbyist Employer Deleting Lobbying Firm

Name of Firm Effective Date
No attachment required

Registered Lobbyist Employer Adding Lobbying Firm

Name of Lobbying Firm Effective Date
No attachment required

Deleting Lobbyist

Check one
 Form 606 is attached as the lobbyist is ceasing activities as a lobbyist.

Name of Lobbyist Effective Date

Other - Describe in detail and provide attachments as required.

11/17/2011
Effective Date

-Lobbyist Certification
 Stmt. Form 604
 amended to state
 that ethics course was taken. See attached form.

Form 606 is NOT attached as the lobbyist is no longer employed by the filer but will lobby on behalf of others. (Gov. Code Section 86107 requires the lobbyist and the new employer to file the appropriate forms within 20 days.)

VERIFICATION

I have used all reasonable diligence in preparing this Amendment. I have reviewed this Amendment and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed On 11/22/11
DATE

By Jennifer N Cooper
SIGNATURE OF RESPONSIBLE OFFICER

Name of Responsible Officer Jennifer N Cooper Title SVP, CFO + COO
TYPE OR PRINT

Lobbyist Certification Statement
(Government Code Section 86103)

Legislative Session
2011-2012
(Insert Years)

CALIFORNIA FORM 604
FAIR POLITICAL PRACTICES COMM.
RECEIVED
in the office of the Secretary of State
of the State of California
DEC 09 2011

Type or Print in Ink

Check Box if an Amendment

NAME OF LOBBYIST: (Last) Thomas (First) John (M.I.) R.
BUSINESS ADDRESS: (Number and Street) 222 Berkeley Street, 19th fl., Boston, MA 02116
MAILING ADDRESS: (If different than above) 626 Goodhill Road, Kentfield, CA 94904
NAME OF LOBBYIST EMPLOYER OR LOBBYING FIRM: Martingale Asset Management, L.P.

TELEPHONE NUMBER: (415) 497-9576
FAX NUMBER: (Optional) _____
E-MAIL: (Optional) John.Thomas@martingale.com

DEBRA BOWEN
Secretary of State

I. LOBBYIST ETHICS ORIENTATION COURSE

Check one box:

- I have not taken the course within the previous 12 months. I will attend the course (check one):
 - New Certification - Within the next 12 months
 - Renewal - By June 30 of the next calendar year
- I completed the course on 11, 17, 2011
(Mo./Day/Year)

II. AGENCIES LOBBIED

Check one box:

- I will lobby the agencies identified on the Lobbyist Employer or Lobbying Firm Registration Statement (Form 601/603) and subsequent amendments.
 - I will *only* lobby the agencies identified below:
- Will you lobby the State Legislature? Yes No
- State Agencies: _____

III. LOBBYIST RESTRICTIONS

By signing the verification below, I certify that I have read and understand that I am subject to the prohibitions contained in Government Code Sections 86203 and 86205. (Provided on reverse.)

VERIFICATION

I have used all reasonable diligence in preparing this Statement. I have reviewed this Statement and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on Nov 22, 2011 DATE By John R. Thomas SIGNATURE OF LOBBYIST